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	Stage I Audit Report for QMS/EMS / OHS / IMS Scheme(s)	Eff. Date	: 10-02-2023
		Developed by	: NR
		Approved by	: HEAD-IRQS

01)	Name of the Client	JSS Academy of Higher Education and Research				
02)	Address of HO & Site(s)	JSS Medical Institutions Campus Sri Shivarathreshwara Nagara Mysuru - 570 015 "Rocklands" Post Box No.20 Udhagamandalam - 643 001 Tamil Nadu State				
03)	File Number	J/8111/E,En2018				
04)	Name of Organization Representative coordinating with CB	Dr. Madhusudan N Purohit				
05)	Date of Audit	10 th to 12 th January 2024				
06)	Type of Audit (strike out the standard not under audit)	Stage I / Follow up Stage I / Repeat Stage 1 Joint/ Combined / Integrated / Remote On site				
07)	Audit Objective (Stage -I)	<ul style="list-style-type: none"> Review the client's management system documented information's Evaluate the client's site-specific conditions and to undertake discussions with the client's personnel to determine the preparedness for Stage 2 Review the clients status and understanding regarding requirements of the standard wrt identification of key performance or significant aspects, processes, objectives and operation of the management system Obtain necessary information regarding the scope of the management system :- The client's site(s), Processes and equipment used, levels of controls established (Particularly in case of multisite clients) Applicable statutory and regulatory requirements Review the allocation of resources for Stage 2 and agree the details of Stage 2 with client Provide a focus for planning Stage 2 by gaining sufficient understanding of the client's management systems, Site operation in the context of management system standard or other normative documents Evaluate if the internal audits and management reviews are being planned and performed and that the level of implementation of the management system substantiates the client is ready for Stage 2. 				
08)	Audit Criteria: (strike out the standard not under audit)	QMS (ISO 9001)	QMS-MD (ISO 13485)	QMS-EOMS (ISO 21001)	EMS (ISO 14001)	OHS (ISO 45001)
		<ul style="list-style-type: none"> Applicable legal & other requirement. Organization's procedures & documented information in line with the respective standards. 				
09)	Scope of Certification: (If Multi-site audit, then scope as applicable at each site should be verified & reported)					
➔	General Scope as required on the final "Certificate of Approval"	JSS campus Mysuru: Providing UG and PG education in Medicine, Dentistry, Pharmacy and Life sciences				
	Scope of Head Office	-do-				
	Scope of Site	Ooty campus: Providing UG and PG education in Pharmacy				
	Scope Support Office(s) / Location(s), if any	-				
10)	Non-Applicability of Clauses & Justification					
➔	NA					
11)	Audit Team Details (Team Leader, Team Member, Provisional Auditor, Provisional Team Leader, Evaluator, Industry Expert Any accompanying persons; e.g. Guides, Observers, Translator, Facilitator etc.)	Name		Role		
		T.K. Srivatsan		TL		
		Mr. Tomcee Thomas		Auditor		

12)	Audit conducted at [Physical location(s), as applicable]	Address	Date of Audit	Functions/ Process(es) /Activities audited at the Location/Site
	Head office	JSS Medical Institutions Campus Sri Shivarathreeswara Nagara Mysuru - 570 015	10 th to 12 th January 2024	As per schedule
	Permanent site(s)	Ooty campus: Providing UG and PG education in Pharmacy		
	Temporary site(s)	-		
13)	Auditor to comment : 1. Any deviation from audit plan and their reasons :- 2. Upon any adverse conditions faced during the audit (e.g.; power outage, Fire, Flood, specifically related to the condition of the sites affecting the auditing activities) :-			
➡	nil			
14)	Verification of the Questionnaire Information:			
	Particulars	Information provided in Questionnaire	Verification of provided information	
	Number of sites	1	1	
	Number of employees	As per AAF	As per AAF	
	Language of audit	English, Kannada	English, Kannada	
	Current certification & its validity	New	New	
	Working in shifts – Shift pattern	No	No	
	Products and Services	Delivering Education service	Delivering Education service	
	Design & development	NA	NA	
	Scope	Providing UG Courses on Life Sciences, Dental, Pharmacy and Life sciences.	JSS Mysuru campus: Providing UG and PG education in Medicine, Dentistry, Pharmacy and Life sciences Ooty campus: Providing UG and PG education in Pharmacy	
Externally provided processes, products and services (Outsourced)	nil	Calibration		

Audit Findings		
SEC A : Comments on the effectiveness for the action taken for the areas of concern:(In case of repeat Stage I audit).		
Findings		Comments on the effectiveness for the closure
No. of Areas of Concerns raised during the stage 1 audit:	NA	–
SEC B : Comment on the management system(s) effectiveness for the following based on objective evidence		
a)	Review the client's management system documented information's. (Attach Review of Documented Information/Review–Doc: IV IRQS:FORM:101 for ISO 9001:2015/ISO 14001:2015/ISO 45001:2018 and IV IRQS:FORM:57 for ISO 45001)	
➡	Refer documented information - attached	
b)	Regarding the scope of the management system:- The client's Boundary of management, Site(s), Processes – Sequence & interactions, support functions to sites & scope of support function equipment used, levels of controls established, (Single and particularly in case of multisite clients)	
➡	JSS Academy of Higher Education & Research (JSSAHER), formerly known as JSS University, is a Deemed to be University located in Mysuru, Karnataka. It was established in 2008 under Section 3 of the UGC Act 1956 and is part of JSS Mahavidyapeetha, which runs a variety of educational institutions. This deemed-to-be university is recognized by the Ministry of Education and accredited by NAAC with A+ Grade (3.48 CGPA). JSS AHER has been graded as Category-I Deemed-to-be University by UGC.	

Scope:

SCOPE FOR JSS ACADEMY OF HIGHER EDUCATION AND RESEARCH, MYSORE CAMPUS
PROVIDING UNDERGRADUATE AND POSTGRADUATE EDUCATION IN MEDICINE, DENTISTRY, PHARMACY AND LIFE SCIENCES

SCOPE FOR JSS ACADEMY OF HIGHER EDUCATION AND RESEARCH, OOTY CAMPUS
PROVIDING UNDERGRADUATE AND POSTGRADUATE EDUCATION IN PHARMACY
Doc Ref: JSSAHER/IMS/M/01

Process

Top Management/MR, Course Delivery, Maintenance/Utilities, HR/Admin/Security, Purchase, Stores, Customer services, Laboratory, Library, Waste Handling

Samples

Maintenance /Utilities-Pharmacy campus-Mysuru

Silent D.G Set with 160KVA Auto Powerica CPCB (central Pollution Control Board) Compliant – Environment Friendly
Model Family # CP/160D5B/F42
AMC report evidenced for Nov 23
Monitoring consumption of diesel consumption register
Nov: 42Ltrs
Aspect & Impact: JSSAHER/AI-RO/TCH-01, Issue# 1 & Issue Date: 01.07.23
Consumption of fuel. Generation of Noise, Generation of fumes etc.
OCP: OCP-09,06,01,04,05

Dental and Environmental Science campus-Mysuru

D.G set 2Nos

1. 380KVA
2. 500KVA Cummins make - Silent genset

AMC from: M/s Muniranjana Diesel Sales & services

Contract period: 28.11.2023 to 27.11.2024

AMC on monthly basis – Reports for both Generators verified.

Date of service 14.12.2023

Daily DG set monitoring checklist verified & information available up to date: 11.01.24

Storage of diesels in 200Ltr Barrels & pumped through motor – No spillage noticed.

Control room well maintained

Aspect & Impact common for the both campus

Library-Mysuru campus

Total No of books available on environment: 258

Evidences for environmental related books available at library

1. Environmental science a new approach
2. Sewage disposal & air pollution engineering by Santhosh Kumar Garg
3. Ecology principles & Applications by J L Chapman & M J Reiss

PO sample of JSS College of Pharmacy, Mysuru

PO no: JSSCPM/C9/2066/2023-24

Date: 19-12-23

Description- Order for supply of chemical

VASA Scientific Co.,

MSDS available.

Verified for Nicotinamide Adenine Dinucleotide . Adequate.

PO sample of JSS Dental College, Mysuru
 PO No: DCH/DVP/745/2023-24
 Date: 20-11-23
 Hydrogen peroxide 450 ML -10 Nos
 Radha Medicals

Supplier list available for Dental College.
 102 suppliers available.
 Radha Medicals verified.

PO sample for medical college.
 PO no: JSS/NC/Stores/Library/6374/2023-24
 13.12.23
 Maruthi Enterprises
 Xerox machine to library

Supplier list available-72 nos

PO sample for life sciences
 PO no: JSSAHER/Reg/SLS/Mys/2023-24/867
 26th Dec 23
 Sri Ram Distributors
 List of Chemicals

PO sample of Ooty campus
 PO Number-3300/2023-24
 Date 07.11.23
 Jayam scientific company
 Rhodamine 6 G
 Qty-5 gms

PO number: 3299/2023-24
 30.11.23
 Precision Scientific Co.,
 Hamilton Syringe
 1 no

Waste Handling-Mysuru campus

Bio medical waste handling guide lines display with photographs

Bin colours with same colour bags against waste for disposal

1. Yellow : Incinerable waste
2. Blue : Glass ware & metal
3. White : Disinfectant waste
4. Red : Plastic waste

Waste generated at dental treatments centres will be moved by helpers

Interviewed Mr. Ramesh who is moving the waste to the common waste bins located
 Explained in detail about waste handling
 Training provided at the site about waste handling

M/s Sree consultants - Central waste disposal contractor

Labwaste - Ooty campus

Nature of waste generated in the lab: Tissues, Syringes, filter paper, Broken glass, Animal & Blood waste etc.
 Separate bins provided to handle the waste against color codes

Blue: Syringes, Broken glass waste

Yellow: Animal waste, Blood waste

Green: Filter paper, Tissue Paper & Nonhazardous waste

Disposal through Separate bio degradable covers with identification against Ooty standard – as per the guidance to meet the no plastic zone.

c) The clients status and understanding regarding requirements of the standard wrt identification of key performance or significant aspects, processes, objectives and operation of the management system: (e.g. Context of organization, Interested parties, Risks and opportunities, Setting of objectives, Procedures for Aspect – Impact / Hazard Identification Risk assessment , Life cycle assessment, Management program, KPI's, etc.,)

Interested parties Needs and Expectation

S. No.	Interested Parties	Their Needs	Their Expectations
Internal Interested Parties:			
1	Management	Complete the Environmentally Responsible Work, Proper Communication	Follow the Centre Rules & Instructions, Environmental compliance, Employee Ownership, On time Work Completion, Proper handover
2	Oversees counterparts	Healthy relationship/Clear in communication	Quick response to queries
3	Inter Departments	Complete the Responsible Work, Proper Communication	Ontime Work Completion
4	Employees	Salary, Job Assurance, Good working environmental	On time salary, Career Growth, Safety and Security
External Interested Parties:			
5	Statutory and Regulatory Body	Fulfil the statutory and regulatory compliance	Ontime Fulfil the Compliance
6	Certification Body	Fulfil the standard requirement & Support the Audits	On time Audit completion & Post Audit Activities
7	Neighbors / Local Communities	Feel free Environments	Emergency Support
8	External Providers	Proper Inputs / Spec. given about requirements	Regular Orders & On time payment
9	Customers	Delivered the Actual & Clear Reports, Fulfil their Requirements of services & Proper Communication	On time amendments, Emergency Response, Prior Communication, redressal of complaints Compliance with any specific requirement
10	Bank	On time Interest Pay	Fluent Financial Flow
11	Reference Doctors	Delivered the Actual & Clear Reports, Fulfil their Requirements & Proper Communication	On time Auditing& Reporting, Emergency Response

Context

s.no	Issues	Internal/External	+/-ve	Risk to Business
1	Communication disturbance – Network configuration	External	-ve	Communication misunderstood and Business continuity issue
2	Power consumption	Internal	-ve	Depletion of resources/Cost to

				organization
3	Employee/Students Health and safety	Internal	-ve	Illness impacting productivity
4	Outsource and provider control	External	-+ve	Provider relationship and delivery delay, Environmental requirement/ inconsistent
5	Statutory and Regulatory compliance	Internal/External	-Ve	Closure of institution
6	Competent manpower	Internal	-ve	Planning and EMS objectives affected
7	Generation of Waste	Internal	-ve	Environmental complaints, Cost escalation
8	Operational control	Internal	+ve	No environmental complaints.


Objectives

Sl.N o.	Objectives	Unit	Targets	Supporting Document	Frequency of Monitoring	Last monitored date
A	Energy Objectives & Targets					
a	Fulfillment of Energy Consumption target	kWh	Less by 10%	Monthly Electricity Consumption report	Monthly	Nov'23
b	Preventive Maintenance	no.	100%	Preventive Maintenance Schedule	Continuous	Dec'23
c	Water Consumption	litres	Less by 10%	Monthly Water Consumption Report	Monthly	Nov'23
d	Earth Pit monitoring	resistance	100%	Earth Pit Monitoring report	Quarterly	last quarter
e	Diesel	Litres	Less by 5%	Monthly Fuel Consumption report	Monthly	Nov'23
f	Transition to BLDC Motor for Ceiling Fans	No.	100%	Service Reports	Continuous (as and when replaced)	as and when
g						
B	Environmental Objectives & Targets					
a	Solar System Installation	Nos. of sites	2	Installation Records	3 years	2023
b	Implementation of Rainwater Harvesting	Litres	100%	Water collected statistics through Water Bills	Monthly	Continuous
c	Transition LED bulbs	No.	100%	Service Reports	Continuous (as and when replaced)	Dec'23
C	Others					
a	Environmental Compliance Fulfillment	%	100%	Legal Register	Continuous	Continuous
b	Incidents of reportable accidents	No.	Zero	Monthly NC Report	Monthly	Dec'23

Procedures for Aspect – Impact/Life cycle assessment, Management programs:
Aspect-Impact Documents available for processes. Procedure is adequately explained in the manual
Life cycle assessment is adequately explained in OCPs and Manual
Doc Ref: JSSAHER/IMS/M/01

	Management programs on Green belt development, Rain water collection, Energy saving initiatives -Adequate
d)	Applicable statutory and regulatory requirements. (QMS – Product related, EMS – Environmental Legal & other requirements, OHS - OH&S Legal and other requirements)
→	<p>Karnataka State Pollution Board Consent JSS Dental College No: 325/KSPCB/RO-1/HCE/2017-18/1342 Dated 18.08.2017 One time consent</p> <p>Type of waste- Human anatomical waste, Animal Anatomical Waste, Soiled Waste, Expired or Discarded Medicines, Chemical Waste, Chemical Liquid Waste-1.18 Kg/Day</p> <p>Disposal – Shree consultants for 5 years; 31.12.24</p> <p>Life science-white category- No pollution certificate required.</p> <p>JSS College of Pharmacy Consent Authorization no: 25, KSPCB/RO-I/HCE/2019-20/602 Dated 02.07.19 One time consent. Type of waste- Human anatomical waste, Animal Anatomical Waste, Soiled Waste, Expired or Discarded Medicines, Chemical Waste, Chemical Liquid Waste-0.26 Kg/Day</p> <p>Disposal- GIPS Biotech validity till 31.12.24</p> <p>JSS College of Pharmacy TNPCB consent authorization Water Consent Order No: 2108139843883 Proceedings No; F.0709NLG/OM/DEE/TNPCB/NLG/W/2021 dated 26-07-2021 Air Consent Order No: 2108239843883 Proceedings No; F.0709NLG/OM/DEE/TNPCB/NLG/A/2021 dated 26-07-2021 Consent validity-31st Mar 2031</p> <p>Fire NOC L.Dis. No: 586/B1/2023 License No: 99/2023 Dated 14.02.23 Validity till-13.02.24 Fire training given as per the conditions to operate fire extinguishers. 29th Sep 23</p> <p>Radiology-Legal Registration for operation of Medical Diagnostic X ray Equipment Case file: KA/20230/RF/XR/009 Issue date: 18.10.23 Expiry date: 18.10.2033</p> <p>Radiology Doses -Dose report of Radiology workers. Jul-Sep 23 24 Radiology workers tracked. Within the limits</p> <p>Karnataka State Electricity Board ACEI/MYS/TEC 326 EIN/2016-17/9774-76 31.03.17</p>

	250 Kva transformer in pharmacy campus ACEI/MYS/TEC 39 EIN/2017-`8/1590-916 160 KV Generator in Pharmacy campus Solar 100 KW linked to grid on 20.07.2019 EE (E)/AEE (E) (o)/AE (T)/SRTPV/2019-20/3366-70 Adequate		
e)	The internal audits and management reviews are being planned and performed and that the level of implementation of the management system substantiates the client is ready for Stage 2. (At least one round of IA & MR)		
➔	Internal audit Internal Audit was done on 20.10.23 Audit plan verified. JSS/DCM/IMS/AAP-01 Audit was done by Ms. Smitha, Third party Smitha competency certificate verified. Lead auditor, ISO 14001-2015 by TUV SUD (TUVSA/AC/2018/EHS26/OH/0005/0007) Audit program verified. <i>Audit report verified.</i> NC's recorded- 8 NCs for Dental, 9 NCs For JSS College of Pharmacy, 9 NCs for Medical, 9 NCs for Pharmacy, Ooty, 9 NCs for life science NC closure reports verified. Meet the requirements. Conclusion on the effectiveness of IA-Adequate MRM MRM was conducted on 2 nd Jan 24 MOM available. Attended by 17 members EMS external and internal issues, Changes in needs and expectations of the interested parties, Significant environmental aspects reported, Changes in Risks and Opportunities, Objectives, Internal Audit programs verified. <i>conclusion on the effectiveness of conducted Management review, Improvement, Output -Adequate</i>		
f)	Based on the evaluation of the client's site-specific conditions, maturity / level of integration (in case of integrated management system) and discussion with client personnel to determine the preparedness for Stage 2.		
➔	Client is prepared for stage 2, Stage 2 shall be progressed.		
g)	Provide a focus for planning Stage 2 based on sufficient understanding of the client's management systems, Site operation in the context of management system standard or other normative documents. (Prepare a rough audit plan for Stage – 2).		
➔	Mysuru and Ooty sites. Process of course delivery and interaction with Environment and validation/revalidation shall be considered against EMS, needs to be sampled further. Audit plan informed to client for 3 days covering all processes with 4 auditors.		
h)	The allocation of resources for Stage 2 and agree the details of Stage 2 with client. (as applicable e.g. Logistic arrangement – Travel time (To and between the location, sites, various departments / functions), Requirement of Industry expert – Needed not needed, Identify the process owner for the department / functions being audited, to avoid repeated visit to the same process /person, Mondays, Competence of the Audit Team required to conduct stage 2, etc.)		
➔	11.5 mds with 4 auditors for 3 days to cover the site and scope, shall be planned		
i)	Comments on the process of Organization's Management of Change		
➔	As of now the change is on infrastructure and alignment to process – being done on direct control of Registrar and Dean.		
SEC C : Current Areas of Concern.			
a)	AOC No.	Clause No.	Statement(s)
			--
b)	No. of AOCs raised	nil	

SEC D : Any Unresolved Issues	
	nil

SEC E: Audit Program [To be filled for one cycle, upto Renewal]

Any Significant issues impacting audit program to be recorded										
Type of Audit	Stage 1		Stage 2 / Renewal		Surveillance # 1		Surveillance # 2		Renewal	
	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
Date Of Audit	Jan 24	10-12 Jan 24	Feb 24		Jan 25		Dec 25		Nov 26	
No. of Mandays	6	6	11.5		6		6		11.5	

*Site(s) [covering Temporary /Permanent] / Department/ Functions / Processes (Please mark [✓] Tick Mark)	Stage 1		Stage 2 / Renewal		Surveillance # 1		Surveillance # 2		Renewal	
	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
TM/MR&MA	✓	✓	✓		✓		✓		✓	
Customer services	✓	✓	✓		✓		✓		✓	
Purchase	✓	✓	✓		✓		✓		✓	
Stores	✓	✓	✓		✓		✓		✓	
Course Delivery	✓	✓	✓		✓		✓		✓	
Maintenance	✓	✓	✓		✓		✓		✓	
HR/Training	✓	✓	✓		✓		✓		✓	
Legal	✓	✓	✓		✓		✓		✓	
Safety and Emergency preparedness	✓	✓	✓		✓		✓		✓	
Processes to be audited in each shift as applicable during Stage 2	<i>Only General shift</i>									

[*Sub-Division in the Department, Processes, Sub-Processes, Activities involved & audited under One Heading to be specified in the "Site(s) [covering Temporary /Permanent]/ Department/ Functions"]

- Note:
- a) Stage 1 Audit Programme to be addressed the Number of Shifts & audit is planned for at least one of the shifts inside and one outside of regular office hours
 - b) Stage 2 / Renewal / Surveillance Audit : as per the Stage 1 Audit Programme, audit is conducted for at least one of the shifts inside and one outside of regular office hours
 - c) In case of Migration to ISO 45001:2018 : Audit outside of regular office hours, all shifts audits to be conducted during all audits during the cycle. In case of migration during surveillance, then during all subsequent for cycle to be covered. If migration done during:-
 - 1) Surveillance #1 – Then Surveillance #2, Renewal and Surveillance #1 after renewal.
 - 2) Surveillance # 2 – Then during Renewal , Surveillance #1 and Surveillance #2.

SEC F : Effectiveness of audit objectives achievement: : [Please mark 'Tick - ✓' as applicable for Onsite audit and Remote Audit]			
On-site and Remote Audit	Effectiveness		
	Achieved	Not achieved	Remarks on what is not achieved or raised it as AOC
Demonstration of Leadership commitment	✓		
Determination of External & Internal issues	✓		
Needs and expectation of Interested parties	✓		
Access to Legal & other requirements and their compliance	✓		
Process of Setting of objectives and achievements.	✓		
For Operational control	✓		
Process of Performance monitoring and continual improvement.	✓		
Effective conduct of Internal audit and Management review.	✓		
Process of addressing any changes, Management of change.	✓		

REMOTE AUDIT (USING ICT) Not Applicable
 The Below Ticked ICT has been used in carrying out audit/assessment and the effectiveness of ICT in achieving the audit/assessment objectives are as noted below:

Based on the Input received in IV IRQS:REC:52 A the following ICT were used: (Tick ✓ which were used), any other means if used please include the same for comments on its effectiveness for achieving the objectives).	Used to gather objective evidences.	Effectiveness	
		Achieved for	Not achieved or Not fully achieved for
Micro Soft Team Meeting ZOOM Go-To Meetings Video conferencing WhatsApp Video call Skype. Use of Drone	Virtual site visit — Based on respective scheme requirements.		
	Operational — activities — (EG; — Process parameters, Operational control etc.).		
	Sharing — of — Documents, — Documented information, Records on Screen		
	Interview with personnel		
	Uninterrupted — connectivity — throughout audit duration.		
	Overall Audio / Video clarity.		
	Sharing of photos		
	Documents through mails in time.		
	Maintain Integrity of the audit / assessment process.		
	Usage of Drone		
Objectives of Current Type of audit as noted above under Section 9 of this report.			
Any other additional information from FORM 52 A / observations.			

Based on the above:		
Additional Manday required to cover the processes for which objectives not fully achieved	YES (Please mention the audit duration that would be required)	NO
Audit Programme amended.	YES (Please amend the audit program accordingly with appropriate justification)	NO

SEC G : Maturity Of The Management System		
(i)	Level of Integration in case of Integrated Management System:	
a)	Integrated Documentation (Manual, policy and objectives, procedures, work instruction etc.)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b)	An Integrated approach to Roles & Responsibilities	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c)	Conduct of Integrated / approach to Internal Audit	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d)	Conduct of Integrated Management Reviews considering the overall business strategy and plan	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e)	An Integrated approach to systems processes	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
f)	An Integrated approach to continual Improvement mechanisms	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
g)	Organization's personnel to respond to questions more than one management system standards.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
ii)	<u>Comment on the maturity of the management system i.e. about the management system is fully established in the organization and the level of support that it has from senior and top management.</u>	Environment related focus is good and commitment of Leadership also proves the maturity of EMS

SEC H :		
Based on the above, it is confirmed that the effectiveness of the management system has the capability to meet applicable requirements and expected outcomes for the scope of certification scope.		
The audit objectives of Stage – I have been verified through off-site audit/ on-site audit /remote audit. The audit team conclude and recommend for :		
01)	Can proceed for Stage-2 Audit	Yes
02)	Can Proceed for Stage-2 Audit subject to the acceptance of actions taken for the noted "Areas of Concern(s)"	--
Based on the above, it is confirmed that the effectiveness of the management system does not have the capability to meet applicable requirements and expected outcomes for the scope of certification scope.		
The audit objectives of Stage – I have been verified through off-site audit/ on-site audit /remote audit. The audit team conclude and recommend for :		
Stage – 1 Audit to be repeated		-

To dispute an audit finding:

The Dispute and Appeals Process is to be used by clients who wish to dispute a certification decision. It also applies in the case of clients who are not satisfied with the results of the dispute process and wish to appeal the decision.

Disclaimer:

Audit methodology was sample based. Random Samples were chosen from the areas covered in the scope. This is to assess suitability and effectiveness of Management System. Any sampling carries certain amount of uncertainty in auditing. Whenever the ICT facility used for gathering audit evidences the risk associated with poor connectivity of audio / video are taken into the account for uncertainty in auditing. Audit recommendations are subject to an independent review prior to a decision concerning the awarding, renewal of certification or follow-up / re-audit.

Confidentiality:

We assure that the information obtained during the audit will be maintained with utmost confidentiality.

Appeal: Our system has a provision of appeal with regards to audit process, difference of opinion and audit report. The client has every opportunity to appeal, dispute or complain against the decision of the auditors.


Should you wish to Contact IRQS in relation to any queries

Indian Register Quality Systems

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Team Leader Name	T.K.Srivatsan	 Signature & Date : sd/-, dt. 12.01.24
Auditee Representative Name	Mr. Shiva Raju H P.	Signature & Date : sd/-, dt. 12.01.24